

## DOE's Public Access Plan – The Basics for Financial Assistance Recipients (Grantees)

In 2014, DOE issued its Public Access Plan to increase access to scientific research. This is part of a federal, agency-wide effort to increase access to the results of R&D. DOE's Public Access Plan was released on July 24, 2014 and can be found at: <https://www.energy.gov/downloads/doe-public-access-plan>.

### WHY

To ensure that the results of research published in peer-reviewed publications arising from DOE funding are publicly accessible (free of charge) to all citizens (the public) and researchers, which lays the groundwork for increased innovation, commercial opportunities, and accelerated scientific breakthroughs.

### WHAT

Public Access applies to final peer-reviewed manuscripts resulting from DOE funding. This is the version of the manuscript that includes all modifications resulting from the peer-review process, but is not a PDF of the published article. It may be missing special formatting and publisher identifiable markings but formats vary by publishers. Manuscripts that acknowledge the DOE award, and those that are reported in progress reports, etc., should be submitted to DOE's Office of Scientific and Technical Information (OSTI).

### WHEN

New awards and renewals made on or after October 1, 2014 include requirements for submission of accepted manuscripts. Manuscripts published before that date are not subject to the DOE Public Access policy.

### WHO

Recipients of DOE funding are required to provide manuscripts as per the terms and conditions of grants and cooperative agreements. In some cases, manuscripts may not be readily available to the PI because of collaborative type agreements; however, because submission of manuscripts is a term of the award, the PI will need to ensure that all manuscripts resulting from the award are made available to OSTI.

### HOW

Submission of manuscripts is easy. DOE researchers should submit standard metadata about the manuscript (DOE Award/Contract number, title, authors, journal name, publication date, etc.), and a publicly accessible link or a copy of the manuscript. Most of the key manuscript metadata (title, journal, volume, issue, authors, publication date) can be automatically populated using the manuscript DOI issued by the publisher which removes the need to manually enter this metadata. Submission details can be found at: <https://www.osti.gov/stip/publicaccessfaq> and you may submit your manuscript by visiting: [https://www.osti.gov/mlink/241-3\\_Wizard.jsp](https://www.osti.gov/mlink/241-3_Wizard.jsp)

### COST

None. Authors can publish in any journal they wish, and do not need to publish in Open Access journals or pay for their article to be immediately accessible for the purposes of public access. Public access is ensured because of the government's right (license) to use the information produced under the award. The government use license is codified in the Code of Federal Regulations (2 CFR 215.36).

### ACKNOWLEDGEMENTS

DOE reminds PIs and authors of DOE-funded manuscripts to acknowledge DOE sponsorship when submitting their manuscripts for publication. Recipients of ARPA-E funding should provide a formal acknowledgement of ARPA-E for financially supporting the research, and the DOE/ARPA-E contract number should also be supplied. Questions about specific acknowledgements for your project should be deferred to your project manager.